

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 292116US0PCT	SERIAL NO. 10/581,525	
 LIST OF REFERENCES CITED BY APPLICANT <small>APPENDIX B</small>		APPLICANT Satoshi EBATA, et al.				
		FILING DATE June 2, 2006	GROUP			
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
/R.H./	AA 7 015 293	03/21/2006	OSHIMA et al.			
	AB 6 790 914	09/14/2004	KANAMORI et al.			
	AC 6 844 403	01/18/2005	OSHIMA et al.			
	AD 6 992 154	01/31/2006	OSHIMA et al.			
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	AG 5 912 313	06/15/1999	MCINTOSH, III et al.			
	AH 6 031 058	02/29/2000	MCINTOSH, III et al.			
	AI 6 455 650	09/24/2002	LIPIAN et al.			
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	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
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AL	2003 160620	06/03/2003	JP (English abstract only and equivalent of US 2003 119961)		NO	
AM	9 508649	09/02/1997	JP (with English abstract and equivalent of US 5 468 819, US 5 569 730, US 5 518 81, and US 5 741 869)		NO	
AN	2004 076495	09/10/2004	WO (English abstract only and equivalent of US 2004 229157)		NO	
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AQ	5 262821	10/12/1993	JP (with English abstract and equivalent of US 5 629 398, US 5 648 443, and US 5 693 728)		NO	
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AW	BERCHTOLD et al., "NICKEL(II) AND PALLADIUM(II) COMPLEXES WITH α -DIOXIME LIGANDS AS CATALYSTS FOR THE VINYL POLYMERIZATION OF NORBORNENE IN COMBINATION WITH METHYLALUMINOXANE, TRIS (PENTAFLUOROPHENYL)BORANE, OR TRIETHYLALUMINUM COCATALYST SYSTEMS", JOURNAL OF POLYMER SCIENCE: PART A: POLYMER CHEMISTRY, Vol. 40, pages 3604-3614, 2002					
AX	HASSELWANDER et al., "VINYLC POLYMERIZATION OF NORBORNENE BY PD(II)-CATALYSIS IN THE PRESENCE OF ETHYLENE", MACROMOL. RAPID COMMUN., Vol. 18, pages 689-697, 1997					
AY	HENNIS et al., "NOVEL, EFFICIENT, PALLADIUM-BASED SYSTEM FOR THE POLYMERIZATION OF NORBORNENE DERIVATIVES: SCOPE AND MECHANISM", ORGANOMETALLICS, Vol. 20, pages 2802-2812, 2001				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner	/Richard Huhn/				Date Considered 10/10/2008	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

	Docket No.: 292116US0PCT	Serial No.: 10/581,525
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Satoshi EBATA, et al.	
	Filing Date: June 2, 2006	Group:

LIST OF RELATED CASES

Examiner <u>Initial</u>	Docket No.	Serial or <u>Patent Number</u>	Filing or <u>Issue Date</u>	Patent App. Publication No.	Inventor or Applicant
/R.H./	292116US0PCT*	10/581,525	06/02/06		EBATA, et al.
	285118US0PCT	10/568,423	02/14/06		OSHIMA, et al.
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Examiner /Richard Huhn/ Date Considered 10/10/2008

*Present Application; listed for information
NFO/ach/kch